

# **Green Procurement Standards**

## **(7.1th Edition)**

**7.1th Edition Issued : August 31, 2025**

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## 1. Introduction

NIPPON ALEPH is promoting out our business activities on the basic principle of the environment policy that we shall work on our all business activities and our organization to promote sustainable business activities harmonized with global environment and local community, work for our organization, we try to preserve and improve the environment continuously by all personal actions.

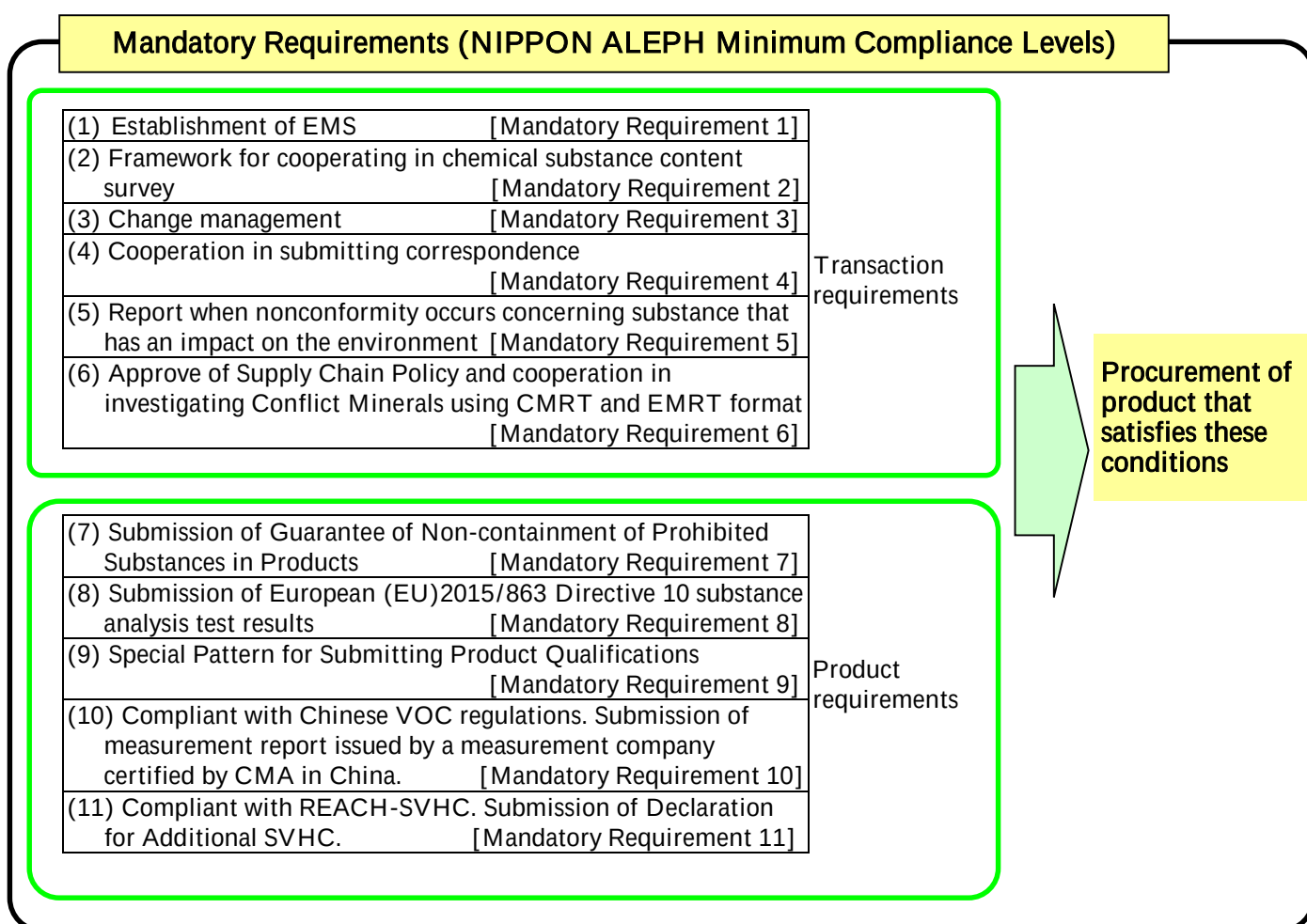
This philosophy was embodied in the “NIPPON ALEPH Green Procurement Standards” developed as a set of guidelines for a green procurement policy, standards and inspections, and which has since been successively revised to accommodate supplier demand and international developments and trends in the relevant laws and regulations.

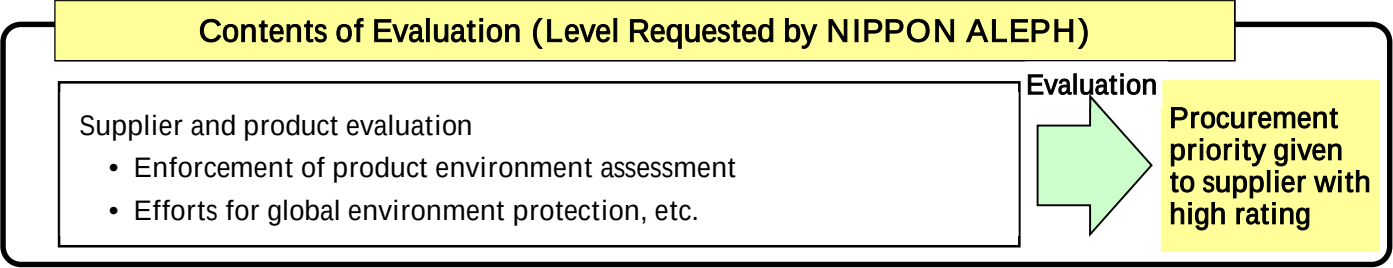
NIPPON ALEPH requires its suppliers to constantly submit both guarantees of non-use and inspection responses. We apologize for the inconvenience that such requirements create, and greatly appreciate the understanding and cooperation of our suppliers in this regard.

If product specifications require compliance to standards other than this standard, NIPPON ALEPH will provide separate purchase specifications.

NIPPON ALEPH will appropriately revise this green procurement standard according to changes in laws and social trends.

### General Outline of NIPPON ALEPH Green Procurement Standards





## 2. NIPPON ALEPH Environmental Policy

### Basic Principles

Nippon Aleph Co., Ltd., to promote sustainable business activities in harmony with the global environment and the local community, strives for ongoing environmental preservation and improvement through the actions of all people who work in or for the organization and business activities.

### Action Guidelines

1. Accurately grasp the impact of business activities, products, and services on the environment and strive to continuously improve environmental management system through the recognition that business activities and the environment need to coexist in harmony.
2. Set management standards voluntarily within the realms of technical and economical availability to prevent pollution and comply with applicable legal requirements and other environmental requirements that the organization agrees to.
3. Develop concrete environmental conservation activities by setting objectives, expanding activities, and conducting its follow-up and reviews.
4. The following environmental conservation activities are placed especially to be important in business activities.
  - (1) Realize resource and energy saving products and recycling by conducting product assessments.
  - (2) Contribute to customers' resource and energy saving and their product competition strategies by expanding sales of products.
  - (3) Operate chemical substance management system that prioritizes customers' requirements.
  - (4) Use electrical energy for air conditioning and illumination efficiently, by optimizing and updating to high-efficiency equipment.
  - (5) Classify waste materials for recycle, reuse paper products.
  - (6) Correspond to RohS2 10 substances.
5. Document, conduct, and maintain environmental policies.
6. Announce the environmental policy through environmental education and internal public relations activities to all people who work in or for the organization and business activities.
7. The documented environmental policy is available to the general public.

### 3. Scope

These standards apply to all tangible products (hardware products) procured to configure electric/electronic products and systems (hereinafter referred to as "NIPPON ALEPH products") sold by NIPPON ALEPH.

As used here, "hardware products" refers to the following;

Units, parts, materials, finished products (OEM products), packaging materials, equipment, chemicals, etc.

**(1) Application to units, parts and materials**

The standards apply to all units, parts, materials, packaging materials and chemicals that make up NIPPON ALEPH products (does not include packaging materials for units, parts and materials), including secondary materials used by NIPPON ALEPH (oil-based pen, adhesive tape, ink, stamps).

**(2) Application to finished products (OEM products)**

Applies to goods procured as finished products (OEM Products) and sold "as they are" by NIPPON ALEPH (includes attached packaging materials).

**(3) Application to equipment, etc.**

This also applies to parts that come into contact with the product only in case it is possible that applicable substances adhere or migrate to products from molds, tools, gloves and/or mechanical equipment as they come into direct contact with NIPPON ALEPH products during the NIPPON ALEPH product manufacturing process.

### 4. Definition of "Green Procurement Products"

**(1) Raw materials and parts/products procured by NIPPON ALEPH (does not apply where delivery of hard product is involved)**

Raw materials, parts and products used in NIPPON ALEPH products shall be procured from suppliers who meet the Mandatory Requirements 1- 6 . (Section 6 of this document) "Green Procurement Products" are those that meet Mandatory Requirements 7-11. (Section 7 of this document)

**(2) Other procured products**

Emphasis is placed on the evaluation of the supplier's environmental management attitude concerning chemicals, gas, equipment, software and services. The environmental aspects of chemicals, gas and equipment will continue to be evaluated in advance (by means of advance evaluation of chemical substances and of equipment).

## 5. Definition of Terminology

Terminology used in these standards is defined as follows:

- **Restricted substance**

Substance that could potentially have a significant impact (aspect) on the global environment and/or on human life, and which has been deemed to have said aspect by law/regulation or by NIPPON ALEPH.

- **Intentional use**

Refers to intentional use in manufacturing products and/or parts where inclusion of such substances is preferable to maintain certain characteristics, appearance or quality.

- **Substances Banned for Containment in Products**

Refers to substances that NIPPON ALEPH prohibits using immediately in parts/material, due to law, regulations, etc. for both substances themselves and their applications.

\*1: For details, see NIPPON ALEPH Green Procurement Standards Annex  
"Substances Banned for Containment in Products."

- **Containment**

Refers to a status where chemical substances are contained in parts, materials or products as ingredients or constituents. Also includes any chemical substances that are naturally contained (impurities) or are contained as residue of the general industrial purification stage (residual materials such as impurities, residual solvent or unreacted monomer).

- **Limit (threshold value)**

Refers to concentrations that must be guaranteed for parts and/or materials delivered to the NIPPON ALEPH and products shipped from the factory of the NIPPON ALEPH in the case where banned substances are not intentionally used but are contained as impurities.

- **Content concentration**

Refers to the concentration calculated with the mass of homogeneous materials as a denominator. "Homogeneous materials" refers to materials that cannot be mechanically broken down into other material or materials, such as the following:

- Compounds, polymer alloy, metal alloy, etc.
- Concerning raw materials for paint, adhesive, ink, paste, resin polymer, glass powder, ceramics, powder, etc., final forms processed by respective assumed usage / methods (Examples: paint and/or adhesives after drying/hardening; resin polymer after formation; glass/ceramics after formation)
- Single-layer paint, printing, plating, etc. In case of multiple layered, each layer is separately considered.

- **Impurities**

Refers to substances contained in natural materials and technically cannot be removed in the refining process as an industrial material, and/or substances that are produced in the synthetic reaction process and technically cannot be removed.

- **Site**

Refers to a homogeneous part that cannot be further divided. The surface treatment such as plating can be thought of as a site.

- **10 European (EU)2015/863 Directive substances**

(Directive on the Restriction of the use of certain Hazardous Substances in electrical equipment)

The 10 European (EU)2015/863 Directive substances are; cadmium, lead, mercury, hexavalent chromium, polybrominated biphenyls (PBBs), polybrominated diphenyl ethers (PBDEs), Specific phthalic acid 4 substances (bis (2-ethylhexyl) phthalate / DEHP, butyl phthalateBenzyl / BBP, dibutyl phthalate / DBP, diisobutyl phthalate / DIBP) Addition.

- **EU REACH Regulations**

Refers to the EU Regulations on REACH (Registration, Evaluation, Authorization and Restriction of Chemicals), which went into effect June 1, 2007.

- **SVHC (Candidate substances for authorization)**

Substances of Very High Concern (SVHC) specified by the Article 59, REACH Regulation of EU. The substances selected have the characteristics provided by the Article 57. Article 33, REACH Regulation requires that any EU supplier of articles containing SVHCs in a concentration above 0.1% by weight of the formed product must provide the recipient with sufficient information.

The specific additionally updated SVHC will be advertised on the website of the European Chemicals Agency (ECHA) every June and December every year, so check the information as appropriate and investigate the situation on the presence or absence and its use etc. Please grasp and report to NIPPON ALEPH.

SVHC details are published on the European Chemicals Agency (ECHA) website.

<https://echa.europa.eu/candidate-list-table>

- **China VOC regulation**

On March 4, 2020, China announced a national mandatory standard (GB standard) regarding limits on the amount of harmful substance such as volatile organic compounds (VOC) contained in products. It came into effect on December 1, 2020. This applies not only to products produced and sold in China, but also to export paints produced in Japan to China.

List of New Pollutants Subject to Priority Control in China

On December 29, 2022, the Ministry of Ecology and Environment, the Ministry of Industry and Information Technology, the Ministry of Agriculture and Rural Affairs, the Ministry of Commerce, the General Administration of Customs, and the State Administration for Market Regulation issued Order No. 28 of the Chinese Ministry of Ecology and Environment, the Ministry of Industry and Information Technology, the Ministry of Agriculture and Rural Affairs, the Ministry of Commerce, the General Administration of Customs, and the State Administration for Market Regulation, and the List of New Pollutants Subject to Priority Control in China (2023 Edition) came into effect on March 1, 2023. These chemicals are subject to measures such as production bans, use restrictions, and export restrictions. This may affect local suppliers in China and suppliers outside China. This regulation must be strictly adhered to.

- **JAPIA unified data sheet (Japan Auto Parts Industries Association)**

This document can be viewed from the website of the Japan Auto Parts Industries Association.

<https://www.japia.or.jp/japiasheetpw/>

The JAPIA sheet is a survey format for chemical substances contained in products that was planned and developed by the Japan Auto Parts Industries Association as a successor to the JAMA sheet.

A survey format for investigating chemical substances contained in parts and materials. However, while JAPIA recommends IMDS and JAPIA sheets as material survey tools for auto parts, the scope of IMDS requirements (carbon footprint, etc.) will be greatly expanded in the future, making it impossible to transmit information using JAPIA sheets. Therefore, from October 2027 onwards, IMDS will be recommended.



- **chemSHERPA**

This document can be viewed from the chemSHERPA website.

<https://chemsherpa.net/chemSHERPA/>

This is a common scheme for communicating information on chemical substances contained in products that can be used throughout the supply chain.

In order to properly manage chemical substances contained in products and respond to regulations that expand them, it is essential to convey information on chemical substances contained in products in the supply chain. To respond to this, chemSHERPA is a tool to transmit information on chemical substances contained in products in the supply chain in a reliable and efficient manner.

(From the upstream to the downstream of the supply chain, realizing information transmission based on a common way of thinking, it is available in various industries without restricting the product industry.) "Responsible information communication" is conducted together with efforts to solve the problem of management of chemical substances contained in products continuously, component information based on a common substance list, and compliance judgment information required for each product field for molded articles.

- **GADSL (Global Automotive Declarable Substance List)**

This document can be found on the American Chemistry Council, Inc. website.

<http://www.gadsl.org/>

List of substances declaration and prohibited substances of IMDS (International Material Data System) used in the automobile industry.

P : Prohibited for all uses

D/P : Prohibition according to purpose of use, Declaration necessary for others

D : When using beyond the threshold, declaration is required so that it is necessary.

- **TSCA (The Toxic Substances Control Act)**

This document can be found on U.S. Environmental Protection Agency (EPA) website.

<https://www.epa.gov/>

- **Japan Chemical Substance Control Law (Japan CSCL)**

The Act on the Evaluation of Chemical Substances and Regulation of Their Manufacture, etc.

(hereinafter the "Chemical Substances Control Law") was firstly enacted in 1973 to prevent environmental pollution by chemical substances that pose a risk to human health or the environment.

This document can be found on Ministry of Economy, Trade and Industry website.

- **Stockholm Convention on Persistent Organic Pollutants (POPs)**

This document can be found on Stockholm Convention website.

<https://www.pops.int/>

## 6. Transaction Requirements for Suppliers : [Mandatory Requirements 1-6]

Along with taking into account the reduction of the environmental impact of procured products themselves, NIPPON ALEPH not only considers reduction of environmental impact of procured products themselves, but also regards it important elements in deciding from whom to procure whether suppliers and manufacturers of such products are taking proactive environmental conservation initiatives. NIPPON ALEPH shall check the efforts of suppliers and manufacturer in environmental preservation and purchase from those suppliers and manufacturers (see notes) that satisfy the following requirements:

Note: For interpretation of supplier and manufacturer, see "8.1 Standards operation contact and survey objects."

### (1) Establishment of Environment Management System. [Mandatory Requirement 1]

"An environmental management system shall be established for factories, offices, etc.. involved in development, manufacture and sale of products."

Establish an environmental management system to promote environmental conservation activities (including managing a system of chemicals contained in products). It is preferable that International Standards, ISO14001 certification be obtained, but Manufacturing factories (those located in Japan only) not planning to obtain ISO14001 certification should obtain third party certification of the following simplified environmental management system.

If obtaining third party certification is not possible, establish an in-house environmental management system (including a system of managing chemicals contained in products) at your company modeled on the following simplified system. (NIPPON ALEPH may consider providing support for establishing an appropriate system upon request by the supplier.)

Overseas: Contact the pertinent institutions in the respective countries.

### (2) Framework for cooperating in chemical substance content survey (does not apply when no delivery of hardware products is involved) [Mandatory Requirement 2]

"The supplier must respond (offer to answer) to the survey of chemical substances contained in the product."

Checking "survey of chemical substances contained in the product" and offering content information is taken as a seller's responsibility.

#### # Offer of the existence information on content

- At any cost, candidate substances (SVHC) for approval should recognize the existence of the content, and should respond as follows.
  - (1) When Exceeding 0.1 wt%, be sure to report content concentration.
  - (2) If not more than 0.1 wt%, report content concentration to the extent that can be known.
  - (3) When SVHC is added, recognize the existence of content of an additional substance promptly, and respond according to the above (1) or (2).
- Other substances except SVHC should correspond as follows.
  - (1) Report content concentration to the extent that can be known.
  - (2) When content information newly comes to hand, report it promptly.
- When there is neither the content of "Content-controlled substances" nor content information, report that there is no content.

**# The forms to report the existence information on content**

- Substances and preparations : In principle SDS, chemSHERPA
- Molded products : In principle chemSHERPA, JAPIA sheet, IMDS

**# Products that should be reported on the existence of information on content**

- Products required to report from NIPPON ALEPH

When it becomes clear that SVHC without a report that states the concentration exceeds 0.1 wt%, NIPPON ALEPH asks for a cause investigation including pursuit of accountability.

**(3) Change management (does not apply in case no delivery of hard product is involved)****[Mandatory Requirement 3]**

"If change occurs, a Control of Change declaration must be submitted in advance concerning the factory where 5M1E(or 4M) is modified in product manufacturing."

(In case any item is changed from the status at the start of the survey or at the start of the new transaction, the form must be submitted in advance)

**(4) Cooperation in submitting correspondence (about consolidation / termination / business discontinuation / other communication letters). [Mandatory Requirement 4]**

In business partners or factories that manufacture parts (referred to as manufacturers), product consolidation, business closure, others.

Please contact our department in charge at the appropriate timing about the correspondence matter.

**(5) Report when nonconformity occurs concerning substance that has an impact on the environment.****[Mandatory Requirement 5]**

If a nonconformity such as product(s) delivered to NIPPON ALEPH contain "a banned substance or conditionally banned substance" specified by NIPPON ALEPH, the supplier or factory that manufactured the part(s) must report the fact to the concerned post "within 24 hours" of discovery.

**(6) Framework for cooperating in Approve of Supply Chain Policy and cooperation in investigating Conflict Minerals using CMRT and EMRT format (gold, tantalum, tin, tungsten, cobalt, mica) [Mandatory Requirement 6]**

NIPPON ALEPH is engaged in business with the aim of satisfying our customers, contributing to society, and creating a prosperous future through our products and services. In order to be effective throughout the supply chain, the suppliers should support this policy and work on the following:

Please check our website. (<https://www.nippon-aleph.co.jp/company/procurement/>)

- a) Maintaining and strengthening competitiveness
- b) Compliance with laws and social norms (Including environment-related laws)
- c) Environmental preservation and operational safety
- d) Appropriate information management
- e) Securing of Soundness in Management

CONFLICT METALS: Where minerals such as tin, tantalum, tungsten, gold (3TG), cobalt, mica, **copper, graphite (natural), lithium, and nickel** are mined in conflict-affected or high-risk areas, the revenue from the mining and trading of these minerals is a source of funding for armed groups and anti-government forces carrying out atrocities and human rights abuses. Minerals sourced from such conflict-affected or high-risk areas have the potential to promote conflict, human rights violations and environmental degradation.

NIPPON ALEPH considers mining to be an intensive process involving social and environmental risks, and believes the mining of metals and minerals, including conflict minerals (3TG), cobalt and mica mined in the DRC, as well as other minerals mined in other regions, must be managed.

NIPPON ALEPH's policy is that we want no part in any human rights violations or environmental destruction. Using the Conflict Minerals Reporting Template (CMRT), Extended Minerals Reporting Template (EMRT) and **Additional Minerals Reporting Template(AMRT)** provided by the Responsible Minerals Initiative (RMI), NIPPON ALEPH identify upstream smelters and refiners of conflict minerals (tin, tantalum, tungsten, gold, cobalt, mica, **copper, graphite (natural), lithium, and nickel**). Please cooperate with the investigation.

## 7 . Mandatory Requirements for Products[Mandatory Requirement 7-11]

(do not apply when no delivery of hard products is involved)

### (1) Submission of certification that banned substance is not contained in products

#### [Mandatory Requirement 7]

The supplier must guarantee that products do not contain banned substances specified by NIPPON ALEPH.

Request to submit the previously mentioned certification (for details, see section 9 "Documentation to be submitted.")

NIPPON ALEPH requests its suppliers to submit certification to prove that content does not exceed the limit (threshold value). The company obtains consent for the submitted certification from a representative of the supplier.

NIPPON ALEPH ask the supplier to submit a non-use certification documents for each revision of this standard, or the Green Procurement Standards Annex, or both.

The supplier is not exempted from warranty against defects even if certification is not provided.

### (2) Submission of European (EU)2015/863 Directive 10 substance analysis test results.

#### [Mandatory Requirement 8]

From analysis data of European (EU)2015/863 Directive 10 substances (only 4 heavy metals with the exception of resins [resin formed products, rubber products, ink, paint, adhesives, etc.]), analyze content for each site of products for analysis data (IPC, etc.), and report to us with certification of analysis test results, etc. attached.

Request to submit the above mentioned analysis test results (for details, see section 9 "Documentation to be submitted.")

The term of validity of analysis data (ICP, etc.) shall be effective as long as there is no change in composition of a use material, process, manufacturing place, etc. However, if composition of a use material, material change, etc. occur, please re-analyze and submit to us.

The data is required through the supply chain, therefore, the suppliers are asked also to obtain and submit analysis data published by their upper stream material suppliers.

The supplier is not exempted from warranty against defects even if certification is not provided.

### (3) Special Pattern for Submitting Product Qualifications [Mandatory Requirement 9]

For specific substances designated by NIPPON ALEPH, we will request a special form, so please submit it.

### (4) Compliant with Chinese VOC regulations. Submission of measurement report issued by a measurement company certified by CMA in China. [Mandatory Requirement 10]

When using a preparation containing a volatile organic compound (VOC) in China, A measurement report issued by a measurement company certified by CMA in China is required.

VOC substance are regulated by the Chinese national compulsory standard (GB standard).

- Limit amount of harmful substances in industrial protective paint.
- Limit amount of volatile organic compounds in adhesive.
- Limit amount of volatile organic compounds in ink.
- Limit amount of volatile organic compounds in cleaning agent

### (5) Compliant with REACH-SVHC. Submission of Declaration for Additional SVHC.

Under the European REACH regulation, SVHC is added twice a year.

If we will send you a declaration form for SVHC, please reply and submit it promptly.

(6) According to the "List of New Pollutants Subject to Key Control (2023 Edition)" jointly issued by the Ministry of Ecology and Environment, the Ministry of Industry and Information Technology, the Ministry of Agriculture and Rural Affairs, the Ministry of Commerce, the General Administration of Customs, and the State Administration for Market Regulation of China, the new pollutants listed on the list include perfluorooctyl sulfonic acid and its salts, perfluorooctyl sulfonyl fluorocarbons (PFOS), perfluorooctanoic acid and its salts and related compounds (PFOA), etc., which are subject to management measures such as production ban, use restriction, and import and export restriction.

Registration website for the List of New Pollutants Subject to Key Control:

[https://www.gov.cn/zhengce/2022-12/30/content\\_5734728.htm](https://www.gov.cn/zhengce/2022-12/30/content_5734728.htm)

Local suppliers in China and suppliers outside China must strictly comply with this regulation if your products use chemicals on the "List of New Pollutants Subject to Key Control (2023 Edition)" in the production and manufacturing process. If any products supplied to us are affected by this regulation, please notify us in advance.

(7) If the contents managed by this Green Procurement Standards cannot meet your requirements, we will procure materials that meet the requirements based on the latest requirements announced by the customer.

## 8 . Request for Suppliers to Submit the Guarantee and Chemical surveys

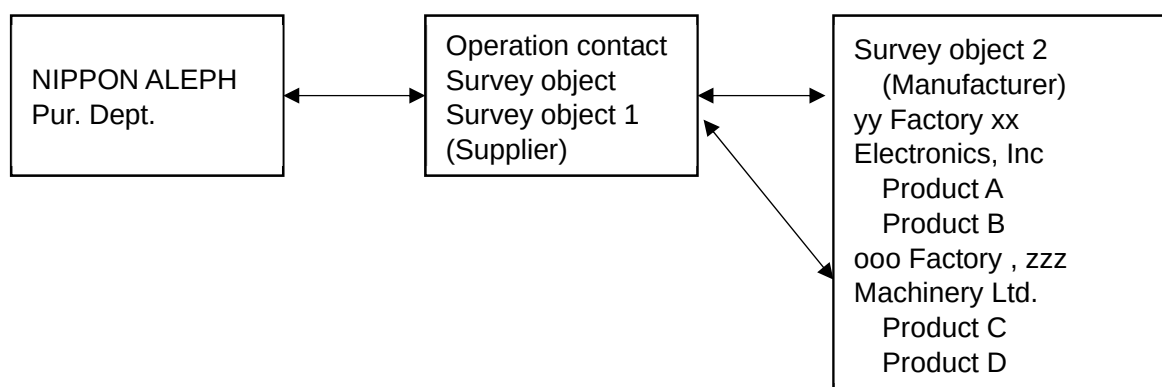
### 8.1 This Standards operation contact and survey objects

#### Operation contact/survey objects (supplier)

Suppliers who deal directly with NIPPON ALEPH purchasing department (supplying parties who issue/receive trade slips) are asked to provide an operation contact. Suppliers are asked to fill and submit a report only of their in-house environmental management system (Form 1).

#### Survey objects (manufacturer)

Objects of the survey are factories that manufacture parts (manufacturer). These are ultimately factories that ship goods to NIPPON ALEPH. If a single supplier handles multiple products or has multiple factories, multiple studies must be conducted separately.



### 8.2 Survey contents

The following items are surveyed.

Place the company stamp or the seal of the person in charge in the proper places on the form and submit.

- (1) Survey of establishment of environmental management system by supplier and manufacturer  
[Requirement 1] action details

Supplier and manufacturer must submit Form 1 "Environmental management system report" to report a description of their environmental management systems.

- (2) Survey of chemical substances contained [Mandatory Requirement 2] action details.

We will request our suppliers/manufacturers each time we conduct the survey, which we ask your cooperation in responding.

If the products delivered contain Substances of Very High Concern (SVHC) of REACH regulations, the information shall be obtained without delay, and submitted to our purchasing department by filling appropriate form shown below.

As for the survey form, basically it is chemSHERPA of 1) below.

Also, if necessary, we will specify the survey form as needed. (There are also requests with multiple types)

- 1) chemSHERPA

(Please use the latest version at the time of the survey request.)

chemSHERPA's homepage

URL: <https://chemsherpa.net/>

- 2) Survey Response Tool Format "JAPIA Sheet".

(Use the latest version at the time of the survey request.)

Home Page of Automotive Industry Association (JAPIA)

URL : <http://www.japia.or.jp/datasheet/>

## 3) International Material Data System (IMDS) survey response

International Material Data System (IMDS) Website

URL : <https://public.mdsystem.com/ja/web/imds-public-pages/home>

Send the IMDS response to us at the following ID: (Separately specified in the case of change)

I D : 36678

Name : NIPPON ALEPH Corporation

## (3) Change management [Mandatory Requirement 3] action details

If there is any change in part/material configuration, manufacturing process, manufacturing equipment/tools, manufacturing location, person in charge of quality control, person in charge of environmental management, etc. (including contents of survey response forms, analysis data or substitution,) or if there are any changes in primary or secondary suppliers, submit in advance in the format specified by our procurement department.

When submitting the change, re-submit all documents pertaining to the change. (analysis data, etc.)

## (4) Contact form [required requirement 4] correspondence details

If a supplier or a factory (manufacturer) that manufactures parts experiences product consolidation or business closure, submit the form specified by our procurement department.

## (5) Correction management

If there are any corrections to the survey response content, clearly indicate the places that were corrected and resubmit.

## (6) Supply Chain Management

Similar management is required to be applied to primary, secondary and subsequent suppliers in your supply chain.

**8.3 Guarantee and Survey Frequency**

## (1) Establishment of Environmental Management System of supplier and manufacturer

To be surveyed each time this standard, or the Green Procurement Standards Annex, or both is revised.

## (2) Chemical content survey

To be surveyed each time this standard, or the Green Procurement Standards Annex, or both is revised.

## (3) Control of Change

When a change occurs in a business partner and a manufacturer, please submit the 5M1E (or 4M) application specified by our purchasing department beforehand.

## (4) Assurance that no banned substances are contained in products (Form3-1)

To be surveyed each time this standard, or the Green Procurement Standards Annex, or both is revised.

## (5) European (EU)2015/863 Directive 10 substances content analysis data

To be submitted each time the standards are revised.

Suppliers are asked to provide the latest European (EU)2015/863 Directive 10 substances content analysis data, not older than 1 year. As for the analysis data, analysis data by a laboratory conforming to ISO / IEC 17025 to do.

# Data analysis shall start from the measurement month.

The term of validity of analysis data shall be effective as long as there is no change in composition of a material used, process, manufacture place, etc. However, when composition of a material used, material change, etc. occur, please re-analyze and submit it.



#### 8.4 Other

- (1) Fill out the required items on the survey in the appendices and submit it to the proper NIPPON ALEPH purchasing department.
- (2) In some situations, conditions concerning green procurement such as purchase standards and specifications may be given separately. In such cases, the separate specifications are given preference over these standards.
- (3) The data provided will be kept strictly confidential.
- (4) Environmentally hazardous substance comes to need management every single part (Homogeneous materials) rank constituting a product.

## 9. Documentation to be submitted

(In case of chemicals [substance, preparation])

| Submitted documentation breakdown |                                                                                                                   | Form No.                       | Submission requirement |              | Remarks                                                                                                                       |
|-----------------------------------|-------------------------------------------------------------------------------------------------------------------|--------------------------------|------------------------|--------------|-------------------------------------------------------------------------------------------------------------------------------|
|                                   |                                                                                                                   |                                | Supplier               | Manufacturer |                                                                                                                               |
| 1                                 | Green procurement survey's applicable products list                                                               | Form0                          | YES                    | YES          | Required, respectively                                                                                                        |
| 2                                 | Environmental management system establishment report                                                              | Form1                          | YES                    | YES          | Required, respectively                                                                                                        |
| 3                                 | "Assurance that no banned substances are contained in product" or "Declaration of banned substance content."      | Form3-1                        | NO                     | YES          | Required                                                                                                                      |
|                                   |                                                                                                                   | Form3-2                        |                        |              |                                                                                                                               |
| 4                                 | European (EU)2015/863 Directive 10 substance content analysis data (Proof of ICP analysis data must be attached.) | No Form                        | NO                     | YES          | Required for chemicals containing organic compounds (ink, paint, adhesive, resin pellets, etc.)                               |
| 5                                 | Submission of SDS (Safety Data Sheet ) or Table of ingredients(Mill Test Certificate etc.)                        | No Form                        | NO                     | YES          | Required<br>(As a rule, submit in written format for GHS). Submit the English and Japanese versions. (Or the English version) |
| 6                                 | Action for cooperation demand with "chemical substance content survey" (Our designated form)                      | No Form                        | NO                     | (YES)        | (Required)<br>Submit when requested.                                                                                          |
| 7                                 | Cooperation in investigating Conflict Minerals using CMRT and EMRT format<br><br>Required : 1 format/Product Name | CMRT<br>EMRT<br>AMRT<br>format | YES                    | (YES)        | Required<br>Submit it in the CMRT and EMRT format by the supplier.                                                            |

## (Formed product/part [article])

| Submitted documentation breakdown |                                                                                                                                                                            | Form No.                      | Submission requirement |              | Remarks                                                                   |
|-----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|------------------------|--------------|---------------------------------------------------------------------------|
|                                   |                                                                                                                                                                            |                               | Supplier               | Manufacturer |                                                                           |
| 1                                 | Green procurement survey's applicable products list                                                                                                                        | Form0                         | YES                    | YES          | Required, respectively                                                    |
| 2                                 | Environmental management system establishment report                                                                                                                       | Form1                         | YES                    | YES          | Required, respectively                                                    |
| 3                                 | "Assurance that no banned substances are contained in product" or "Declaration of banned substance content."                                                               | Form3-1                       | NO                     | YES          | Required                                                                  |
|                                   |                                                                                                                                                                            | Form3-2                       |                        |              |                                                                           |
| 4                                 | European (EU)2015/863 Directive10 substance content analysis data (Proof of ICP analysis data must be attached.)                                                           | Formed product/part           | No Form                | NO           | Required for formed product/part containing organic high polymer compound |
|                                   | Packaging / wrapping material*                                                                                                                                             | No Form                       | NO                     | YES          | Required                                                                  |
|                                   | *Limited to products procured by NIPPON ALEPH for packaging its manufactured products. (Does not include packaging materials used for packaging products delivered to us.) |                               |                        |              |                                                                           |
| 5                                 | Action for cooperation demand with "chemical substance content survey" (Our designated form)                                                                               | No Form                       | NO                     | (YES)        | (Required)<br>Submit when requested.                                      |
| 6                                 | Cooperation in investigating Conflict Minerals using CMRT and EMRT format<br><br>Required : 1 format/Product Name                                                          | CMRT<br>EMRT<br>PRT<br>format | YES                    | (YES)        | Required<br>Submit it in the CMRT and EMRT format by the supplier.        |
| 7                                 | Compliant with Chinese VOC regulations. Please submit a measurement report issued by a measurement company certified by CMA in China.                                      | No Form                       | NO                     | (YES)        | (Required)<br>Submit when requested.                                      |
| 8                                 | Compliant with REACH-SVHC Please submit a declaration for additional SVHC.                                                                                                 | No Form                       | NO                     | (YES)        | (Required)<br>Submit when requested.                                      |

**10. Analytical Determination (limited to European (EU)2015/863 Directive 10substances and Halogen-Free)**

NIPPON ALEPH may conduct acceptance inspection of procured products and may analyze/measure substances of groups of substances that are regulated. NIPPON ALEPH may also demand suppliers conduct analysis/measurement of products. If content/concentration is determined to exceed limits (threshold value) as a result of such analysis (includes results of analysis by supplier), NIPPON ALEPH may demand the supplier determine the origin of the problem including pursuing the warranty against defects. The European (EU)2015/863 Directive of "Appendices 2) of this documentation is applied to such measurement analysis method.

**11. Exemption from this Standard**

A supplier may be exempted from application of these standards only if NIPPON ALEPH agrees in writing to exempt application and/or if expressly indicated so on drawings and specifications of the product to be procured.

**12. Revision of this standards**

Any modification to these standards is to be posted on the NIPPON ALEPH Website. Note: These standards are also subject to change without notice.

The supplier should also comply with local laws or regulations of the country or area in which they are located concerning substances for which use is banned or restricted for any substances or applications not stipulated herein.

### 13. Revision History

November 1, 2018      1st Edition

September 1, 2019      1.1th Edition

- 1 . Change the title of [Required requirement 7] of “Mandatory Requirements (NIPPON ALEPH Minimum Compliance Levels)”

March 27, 2020      2nd Edition

- 1 . Revised of the list of NIPPON ALEPH Product-containing chemical substances (2nd edition) (2.1th edition)
- 2 . Abolished of Form 6 and Form 7

April 1, 2021      3rd Edition

- 1 . Revised of the list of NIPPON ALEPH Product-containing chemical substances (3rd edition)
- 2 . Abolished of Form 4

June 10, 2022      4th Edition

- 1 . Revised of the list of NIPPON ALEPH Product-containing chemical substances (4th edition)
- 2 . Update of Approve of Supply Chain Policy and cooperation in investigating Conflict Minerals using CMRT and EMRT format [Mandatory Requirement 7]
- 3 . Addition of Mandatory Requirements for Products.
  - Request a measurement report issued by a measurement company certified by CMA in China. 《Mandatory Requirement 11》
  - Request a declaration for additional SVHC. 《Mandatory Requirement 12》

June 17, 2022      4.1th Edition

- 1 . Revised of the list of NIPPON ALEPH Product-containing chemical substances (4.1th edition)

June 2, 2023      5.0th Edition

- 1 . Revised of the list of NIPPON ALEPH Product-containing chemical substances (5.0th edition)

March 15, 2024      6.0th Edition

- 1 . Revised of the list of NIPPON ALEPH Product-containing chemical substances (6.0th edition)
- 2 . The list of substances banned for containment in products has been integrated into NIPPON ALEPH green procurement standards annex.
- 3 . Updated of Form 3, Abolished of Form 5

May 23, 2025      7.0th Edition

- 1 . Supplementary Addendum to China's VOC Regulations
- 2 . Supplementary addition to the JAPIA sheet
- 3 . Addition to 7 Mandatory Requirements for Products (6)(7)

August 31, 2025      7.1th Edition

- 1 . Added copper, natural graphite, lithium, and nickel.
- 2 . Changed the format of conflict minerals from PRT to AMRT.